

# Pulmonary rehabilitation for COPD: are programs with minimal exercise equipment effective?

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**Abstract:** Pulmonary rehabilitation is an essential component of chronic obstructive pulmonary disease (COPD) management with strong evidence supporting the efficacy of pulmonary rehabilitation to improve exercise capacity and quality of life, as well as reduce hospital admissions. However, it is estimated that only 2-5% of people with COPD who could benefit from pulmonary rehabilitation have access to programs. Most research on the benefits of pulmonary rehabilitation has used equipment such as cycle ergometers and treadmills for endurance training and weight machines for resistance training. To enable greater availability of pulmonary rehabilitation, the efficacy of exercise training using minimal equipment needs to be evaluated. Randomised controlled trials that used minimal, low cost equipment for endurance (eight trials) and strength training (three trials) compared to no training in people with COPD were evaluated. Statistically and clinically significant differences in functional exercise capacity and quality of life, as well as improvements in strength were demonstrated when exercise training with minimal equipment was compared to no training [six-minute walk test: mean difference 40 (95% CI: 13 to 67) metres; St George's Respiratory Questionnaire: mean difference -7 (95% CI: -12 to -3) points]. While the number of studies is relatively small and of variable quality, there is growing evidence that exercise training using minimal, low cost equipment may be an alternative to equipment-intensive pulmonary rehabilitation programs.

**Keywords:** Chronic obstructive pulmonary disease (COPD); pulmonary rehabilitation; exercise training; walking training; elastic resistance bands

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## Introduction

Pulmonary rehabilitation is an essential component of chronic obstructive pulmonary disease (COPD) management with strong evidence supporting its efficacy (1). Clinically significant improvements in exercise capacity, symptoms of dyspnoea and fatigue, quality of life and reductions in hospital readmission have been documented in Cochrane reviews of randomized controlled trials of pulmonary rehabilitation (1,2).

Recent guidelines (3,4) and statements (5) from major respiratory organisations have outlined the key elements of pulmonary rehabilitation which include supervised exercise training programs of at least 2-3 sessions per week for

6-8 weeks in duration. Guidelines recommend that the exercise session include endurance exercise training and resistance training (3,4).

## Endurance training

Physiological studies have demonstrated that lower limb endurance training in people with COPD can decrease ventilatory demand at a given level of exercise due to changes at the muscle level that include increased muscle fibre capillarisation (6,7), mitochondrial density and muscle oxidative capacity (8-10). Such training adaptations improve the aerobic capacity of the muscle, delaying the

onset of lactic acidosis and, as such, reduce the ventilatory requirements for exercise at equivalent pre-training work rates (11). This is reflected in the ability of people with COPD to perform equivalent work rates for longer after training as well as achieving higher peak work rates (11).

In addition to lower limb endurance training, endurance training of the upper limb using either supported arm exercise (arm cranking) or unsupported arm exercise (free weights) has been shown to reduce ventilatory demand and improve arm exercise capacity (12-14). Such improvements are task specific (15), therefore exercise mimicking daily activities may be of greater functional relevance for people with COPD (16,17).

### Resistance training

Reductions in skeletal muscle strength are evident in people with COPD (18,19) and may affect the ability to perform functional activities. Resistance training improves strength in people with COPD (20-22). Importantly, gains in strength may improve the performance of functional tasks, such as stair climbing and standing from a chair (21). Resistance training may also improve endurance capacity. Significant improvements in cycle endurance capacity were demonstrated in studies comparing strength training with no intervention (20,22). However, the gains in endurance capacity from resistance training were small compared to those that could be elicited from endurance training (20,22).

Besides improved exercise capacity, pulmonary rehabilitation programs have been shown to significantly improve health-related quality of life (1). It should be noted that while exercise training is considered the key component to achieve changes in exercise capacity and quality of life, exercise training often occurs as part of a comprehensive pulmonary rehabilitation program which includes education, anxiety and dyspnoea management, smoking cessation support, and nutritional advice. These additional components of pulmonary rehabilitation may enhance the outcomes of an exercise training regimen. However, a recent large randomised controlled trial of the addition of education to an exercise training program compared to exercise training alone showed no between group differences in exercise capacity, quality of life, physician visits, medication use or hospital admissions (23).

### Access to pulmonary rehabilitation

Despite the high level evidence of the effectiveness of pulmonary rehabilitation, access to pulmonary rehabilitation

programs worldwide is low. While reliable data on access to pulmonary rehabilitation programs for people with symptomatic COPD is not easily available, a number of studies have estimated that only 2-5% of people with COPD who could benefit from pulmonary rehabilitation have access to programs (24-26).

Most studies of the effectiveness of pulmonary rehabilitation have been performed in large metropolitan centres with well-equipped gymnasiums. There is a need to evaluate whether low cost programs with minimal equipment can achieve similar benefits. If programs providing pulmonary rehabilitation with minimal equipment are shown to be effective, the availability of pulmonary rehabilitation may be improved.

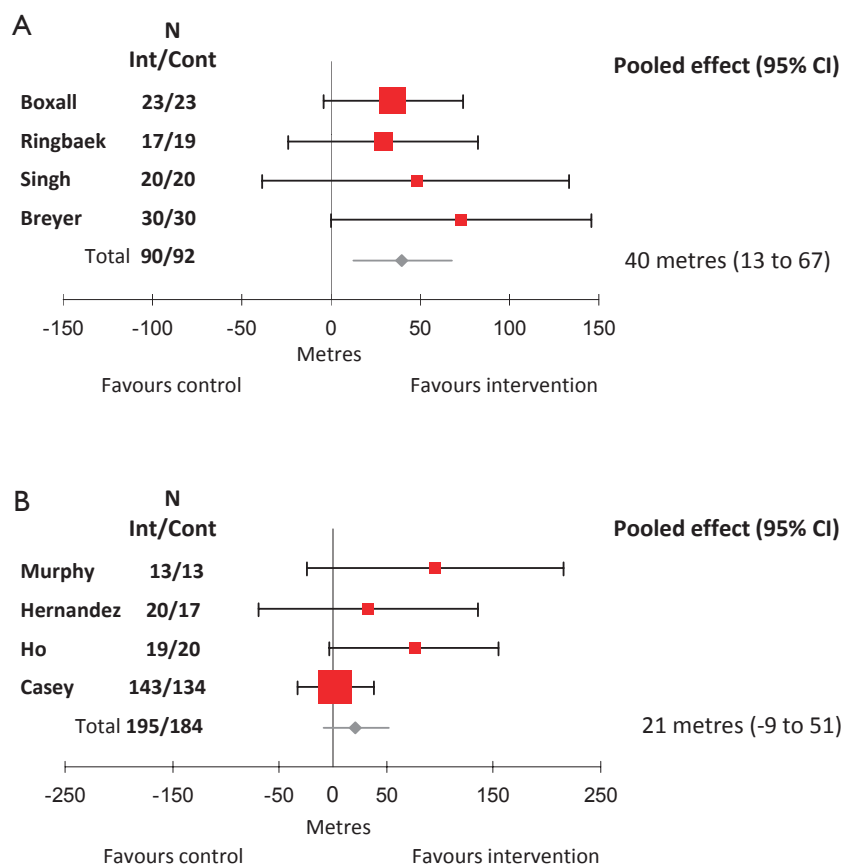
### Exercise programs using minimal equipment

There have been a number of randomised controlled trials of endurance exercise training compared to standard care (no exercise training) in people with COPD in which the training mode required only minimal equipment [for example walking exercise (27-33), sit-to-stand (34), stepping (28,34)]. These trials were identified either from the most recent Cochrane review of pulmonary rehabilitation (1) or new trials published (in English) since that review based on a systematic search of Medline and Physiotherapy Evidence Database (PEDro) databases to identify randomised controlled trials of pulmonary rehabilitation where low resources were used. *Table 1* provides a description of the interventions in these trials.

The methodological quality of the trials was determined by the PEDro score (35). The PEDro score is a valid (36) and reliable (37) measure of the methodological quality of a clinical trial. The PEDro score out of ten is based on a criterion that considers the internal validity of the trial and whether the trial has adequate statistical data to make it interpretable (35). If there was sufficient data for common outcome measures from a number of trials, a meta-analysis was performed. For any meta-analysis, the weighted mean differences (WMD) were determined from the difference between the pre- and post-intervention changes in the intervention and control groups. If change scores had not been presented in the study they were determined by subtracting the post-intervention means from the baseline means. If the standard deviation of change scores was missing, the standard deviations (SD) of the baseline and post-intervention means were pooled according to the equation:  $SD \text{ of mean change scores} = \sqrt{((SD^2_{\text{post}} + SD^2_{\text{baseline}})/2)}$  (38).

**Table 1** Randomised-controlled trials using minimal equipment for endurance training

Study [year]	PEDro score/10	Participants (n) intervention/control	Intervention	Outcome measures
Hernández [2000] (27)	4	20/17	M: walking; I: 70% ISWT speed; D: 1 hour; F: 6 days/week; L: 12 weeks; S: every 2 weeks	ICT; ISWT; CRQ; MRC Dyspnoea
Ringbaek [2000] (28)	3	17/19	M: Walk/Jogging, stair climbing, resistance; I: dyspnoea 4-5; D: 1 hour; F: 2×/week; L: 8 weeks; S: 2×/week (physio); Other: Home training with elastic resistance bands	6MWT; SGRQ
Singh [2003] (30)	4	20/20	M: Walking; I: 'sub-maximal speed'; D: 30 minutes; F: 2×/day; L: 4 weeks; S: 1×/week at home; Other: ACT and pursed lip breathing	6MWT; CRQ
Murphy [2005] (34)	4	13/13	M: stepping, sit-to-stand; upper limb elastic band resistance; I: dyspnoea 3-5; D: 30-40 min; F: 2×/week; L: 6 weeks; S: 2×/week; Other: exercise 15 min on other days	ISWT; 3 min step test; Strength: knee ext & hand grip; MRC dyspnoea; SGRQ
Boxall [2005] (33)	5	23/23	M: walking; I: based on 6MWT dyspnoea and desaturation; D: 2-30 min; F: daily; L: 12 weeks; S: 1×/week for 6 weeks; then 1× every 2 weeks for 6 weeks; Other: arm exercises	6MWT; SGRQ, Hospital admissions
Breyer [2010] (29)	5	30/30	M: outdoor nordic walking; I: 75% HRmax; D: 1 hour; F: 3×/week; L: 12 weeks; S: 3×/week; Other: education 1×/week	Physical activity (triaxial accelerometer); 6MWT; SF-36; HADS
Ho [2012] (31)	7	19/20	M: paced walking to music; I: 80% VO <sub>2</sub> peak from ISWT; D: 30 minutes; F: 5×/week; L: 12 weeks; S: 1×/month when tempo adjusted; Other: control group 'usual exercise' 5×/wk. Daily exercise diary for both groups.	ISWT; SGRQ; Hospital admissions
Casey [2013] (32)	7	143/134	M: walk; I: dyspnoea or fatigue 3-4; D: 20 minutes; F: 2-3×/week; L: 8 weeks; S: weekly diary review; Other: arm and leg exercises; weekly education	CRQ; ISWT
Intervention, intervention group; Control, control Group; M, mode; I, intensity; D, duration; F, frequency; L, length; S, supervised; 6MWT, six-minute walk test; ISWT, incremental shuttle walk test; ICT, incremental cycle test; CRQ, Chronic Respiratory Disease Questionnaire; SGRQ, St George's Respiratory Questionnaire; HADS, Hospital Anxiety and Depression Scale; ×/, times per; MRC, Medical Research Council; SF-36, Short-Form 36 quality of life questionnaire; ACT, airway clearance techniques; HR, heart rate; VO <sub>2</sub> , oxygen consumption.				



**Figure 1** Mean difference (95% confidence interval) for the effect of exercise training using minimal equipment (intervention) compare to no exercise (control) by pooling data from (A) four studies (n=182) that used the six-minute walk test as an outcome and (B) four studies (n=379) that used the incremental shuttle walk test as an outcome. N, number; Int, intervention; Cont, control.

### Exercise capacity

The studies of supervised lower limb endurance training in which minimal equipment has been used have mostly prescribed walking training (27-33). Four of these studies used the six-minute walk test (6MWT) to evaluate whether these training modes improved functional exercise capacity (28-30,33).

For the 6MWT, a meta-analysis included 90 participants in the exercise training group and 92 in the control group and showed a mean difference of 40 (95% CI: 13 to 67) metres in favour of the exercise group (*Figure 1A*). This difference in 6MWT distance was greater than the minimal important difference (MID) for the 6MWT which has been reported as 25 (95% CI: 20 to 61) metres (39). These combined studies suggest that walking training is adequate to improve functional exercise capacity.

Four studies used the incremental shuttle walk test as a

measure of change in peak exercise capacity (27,31,32,34). For the ISWT, a meta-analysis included 195 participants in the exercise training group and 194 in the control group and showed no significant difference between the exercise group and the control group [mean difference 21 (95% CI: -9 to 51) metres] (*Figure 1B*). The ISWT is a measure of peak exercise capacity and an improvement requires the ability to walk faster. It may be that people with COPD who trained with minimal equipment can walk for longer after exercise training but may not be able to increase walking speed. None of these studies used the endurance shuttle walk test (40) as an outcome measure, which has been shown to be more sensitive to change following pulmonary rehabilitation (41).

The physiological changes induced by walking training have not been well studied. One study of predominately high intensity walking training in 25 participants with COPD demonstrated a significant reduction in lactate and

ventilation at isotime on an incremental cycle test after walking training (42). These data provide some evidence of physiological responses in skeletal muscle due to walking training. In contrast, 20 participants in an eight-week, self-monitored, 5 days/week, home walking training program showed no reduction in lactate or ventilation on a constant work rate treadmill test after training (43). Randomised controlled trials with larger sample sizes are required to demonstrate whether physiological changes during a walking test are elicited after walking training.

### Quality of life

Quality of life is an important outcome measure for pulmonary rehabilitation. The most commonly used health-related quality of life questionnaires in the included studies were the Chronic Respiratory Disease Questionnaire (CRQ) (44) and the St George's Respiratory Questionnaire (SGRQ) (45). Three studies reported the dyspnoea and fatigue domains of CRQ (27,30,32). A meta-analysis of these studies which included 183 participants in the exercise group and 171 in the control group showed a significant difference in dyspnoea of 0.48 (95% CI: 0.2 to 0.7) points (*Figure 2A*) and fatigue of 0.42 (0.2 to 0.7) points (*Figure 2B*) in favour of the exercise group. While these improvements were statistically significant, the MID for dyspnoea and fatigue is 0.5 points (46). Therefore, it could be considered that exercise training with minimal equipment resulted in a borderline clinically important improvement in the dyspnoea domain of the CRQ, however did not quite reach the MID for the fatigue domain. These mean differences are also less than those reported for studies that included training with exercise equipment (1).

The SGRQ gives a total score for quality of life and four of the included studies used this as an outcome measure (28,31,33,34). A meta-analysis which included 72 participants in the exercise group and 75 in the control group showed a mean difference in quality of life of -7 (95% CI: -12 to -3) points in favour of the exercise group (*Figure 2C*). A lower score in the SGRQ indicates better quality of life and the MID for SGRQ total score is -4 points (47), indicating that exercise training with minimal equipment is adequate to achieve clinically relevant improvements in health-related quality of life.

### Prescribing walking training

A number of the studies in *Table 1* prescribed walking

intensity based on symptoms of dyspnoea (28,32,33). However, intensity of walking training can be prescribed from the initial field walking tests of either the 6MWT or the ISWT. Walking at 80% of the average 6MWT speed has been shown to elicit a mean ( $\pm$  SD) oxygen uptake ( $\text{VO}_2$ ) of 77% ( $\pm 13$ ) of  $\text{VO}_{2\text{peak}}$  (48), whereas walking at 70% peak ISWT speed has been shown to elicit an oxygen uptake ( $\text{VO}_2$ ) of 76% ( $\pm 11$ ) of  $\text{VO}_{2\text{peak}}$  (49). Exercise training above 50%  $\text{VO}_{2\text{peak}}$  is recommended, as exercise above this intensity is usually sufficient to achieve physiological training effects (50), with higher intensities possibly achieving greater training responses (11,51).

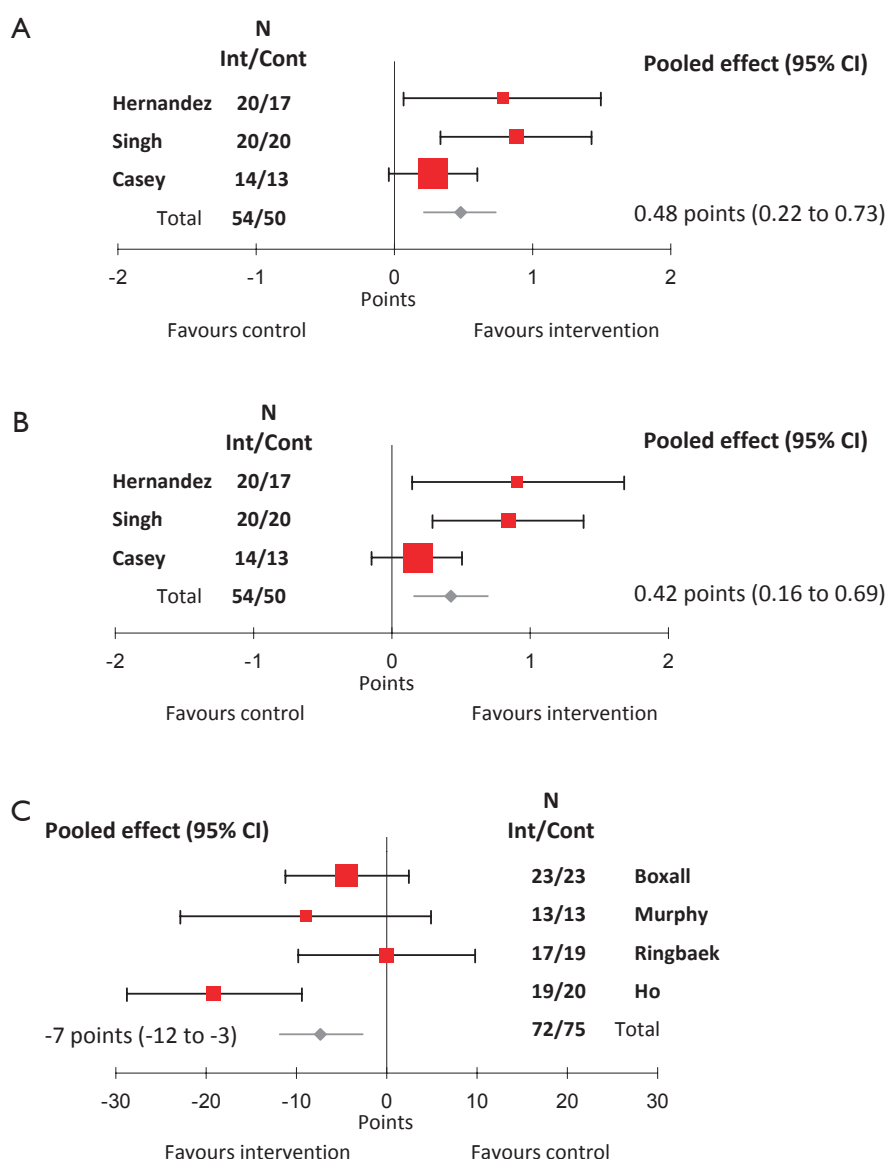
### Resistance training

Three randomised controlled trials were identified that used minimal equipment for resistance training (52-54). One study compared a 12-week program of once a week supervised and twice a week home-based resistance training exercises such as sit-to-stand, seated row, lunges, simulated lifting, chest press using elasticised resistance bands, compared to no training (53). The trial quality was a PEDro score of 7. Results showed a small mean difference in knee extensor strength in favour of the exercise group with no differences in other outcomes such as 6MWT or health-related quality of life. More recently a high quality randomised controlled trial (PEDro score 8) of supervised elastic band resistance training plus patient education three times per week for eight weeks compared to patient education alone reported significant mean differences in 6MWT, unsupported arm exercise, and muscle strength in favour of the exercise group (52). Interestingly, a study that compared elastic resistance band training to conventional equipment-based resistance training demonstrated that both groups improved strength with no differences between groups (PEDro score 6) (54). Although these studies only equate to limited evidence, the findings suggest that supervised training with resistance bands may be an appropriate substitute for equipment-based resistance training in people with COPD.

### Other less conventional training modes using minimal equipment

#### Tai Chi

Tai Chi is an ancient Chinese martial art which incorporates elements of strengthening, balance, postural alignment and concentration. It represents a mode of training which does



**Figure 2** Mean difference (95% confidence interval) for the effect of exercise training using minimal equipment (intervention) compared to no exercise (control) by pooling data from (A) three studies (n=354) that used Chronic Respiratory Disease Questionnaire (CRQ) Dyspnoea as an outcome; (B) three studies (n=354) that used CRQ Fatigue as an outcome and (C) four studies (n=147) that used St George's Respiratory Questionnaire Total Score as an outcome. N, number; Int, intervention; Cont, control.

not require a specific training venue, can be performed without exercise equipment, and promotes aerobic, strength and balance training simultaneously. While there has been a recent systematic review of Tai Chi in COPD the included studies were of low methodological quality (55). Recently, a randomised controlled trial of Tai Chi in COPD with a high methodological quality (Pedro score 8) (56) demonstrated that Tai Chi training resulted in a significant difference in endurance shuttle walk test (ESWT) time

and SGRQ Total score in favour of the Tai Chi group compared to the control group (no exercise training) [ESWT mean difference 384 (95% CI: 186 to 510) seconds; SGRQ Total score -11 (95% CI: -18 to -4) points]. These between group differences exceeded the minimum clinically important differences for these outcomes. Balance and quadriceps strength were also significantly increased in the Tai Chi group compared to the control group. Importantly, Tai Chi elicited a  $\text{VO}_2$  of approximately 63%  $\text{VO}_{2\text{peak}}$



which would be adequate to achieve physiological training effects (54). This study provides some support for Tai Chi as a mode of training to improve exercise capacity and health-related quality of life in COPD.

Further research is needed with larger randomised controlled trials comparing pulmonary rehabilitation programs using minimal equipment with programs using gymnasium equipment. Such studies will help to determine the effects of minimal equipment programs benchmarked against the standard programs. In addition, the effects of minimal equipment on the longer term maintenance of benefits should be evaluated. It is possible that programs using minimal equipment may transfer to the home environment more easily and promote continued exercise and hence maintenance of benefits.

## Conclusions

The demand for pulmonary rehabilitation and the lack of available programs requires focus on alternatives to conventional equipment-based exercise training that can be more widely offered. There is growing evidence that exercise training using minimal equipment is effective in improving outcomes of functional exercise capacity and health-related quality of life in people with COPD.

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